

NOTICE OF PENALTIES FOR LATE FILING:
THE FAILURE OF ANY OFFICIAL OR EMPLOYEE TO FILE BY WEDNESDAY, FEBRUARY 15, 2006, WITHOUT REASONABLE CAUSE SHALL RENDER THAT PERSON DELINQUENT AND RESULT IN AN ADMINISTRATIVE SANCTION OF \$50.00 A DAY FOR EACH BUSINESS DAY AFTER FEBRUARY 15, UP TO A MAXIMUM PENALTY OF \$500.00.



CITY OF ATLANTA BOARD OF ETHICS
2006 FINANCIAL DISCLOSURE STATEMENT

File online at <https://apps.atlantaga.gov/efile>

*To be completed by city officials and employees who are currently serving or served in 2005
Covering reporting period from January 1, 2005, to February 15, 2006
All elected city officials and city employees are required to file online in 2006*

PART ONE: IDENTIFYING INFORMATION

*Read all instructions carefully and complete each part. All filers must answer questions marked with *.*

(1) **Name*** _____
First Middle Name or Initial Last

The answers to questions 2-5 are for administrative purposes only and will not be made available online.

(2) **Home Address*** _____
Street Apt.

City State Zip Code

(3) **Mailing Address** (if different) _____

(4) **Telephone Number*** _____
Day-time Other

(5) **E-mail Address*** _____

PART TWO: REASONS FOR FILING

(6) **Filing Category*** Check the box or boxes that apply to you.

- ☐ City elected official (Go to Question 7)
☐ Board member or hearing officer (Go to Question 8)
☐ Neighborhood planning unit (NPU) officer (Go to Question 9)
☐ City employee (Go to Question 10)

(7) a. **City Elected Officials** What is or was your position as a city elected official?

- ☐ Mayor
☐ Council President
☐ City Council Member
☐ Municipal Court Judge
☐ Solicitor

b. Are you currently serving as an elected city official?

☐ Yes (Go to Question 11) ☐ No (Answer Question 7c)

c. When was your last day as an elected city official? _____

Month

Year

(8) a. **Board Members & Hearing Officers** Are you a member of a city board, appointed by the Mayor or City Council to another public board, or a city hearing officer, or were you one in 2005?

☐ Yes (Answer Questions 8b-d) ☐ No (Go to Question 10)

b. Name of board or entity. _____

List additional boards on a separate page. Do not abbreviate.

c. Are you currently serving in this position? ☐ Yes (Go to Question 11) ☐ No (Answer 8d)

d. When was your last day of service? _____

Month

Year

(9) a. **NPU Officers** Are you an NPU chair or vice chair, or were you one in 2005?

☐ Yes (Answer Questions 9b-d) ☐ No (Go to Question 10)

b. Which NPU? _____

c. Which office do you hold in 2006? ☐ Chair, President, or Presiding Officer ☐ Vice Chair

d. Which office did you hold in 2005? ☐ Chair, President, or Presiding Officer ☐ Vice Chair

PART THREE: SOURCES OF INCOME

(10) a. **City Employment Positions** Since January 1, 2005, have you been employed by the City of Atlanta? Answer no if your only compensation from the City is related to your service as a city board member.

☐ Yes (Answer Questions 10b-f) ☐ No (Go to Question 11)

b. City Employees. What is or was your position with the City?

- ☐ Chief or Deputy Chief Operating Officer; Chief or Deputy Chief of Staff
- ☐ Commissioner, Department head, or its equivalent
- ☐ Deputy Commissioner, Deputy Department head, or its equivalent
- ☐ Employee in the Mayor's office who reports directly to the Mayor
- ☐ Other city employee

c. Specific city job title _____

d. City department or agency _____

e. Are you currently employed with the City? ☐ Yes (Go to Question 11) ☐ No (Answer 10f)

f. When was your last day on the city's payroll? _____

Month

Year

(11) a. **Other Employment Positions*** Since January 1, 2005, have you been self-employed or employed by any corporation, partnership, proprietorship, other business entity, non-profit organization, or other governmental entity besides the City of Atlanta?

☐ Yes (Answer Questions 11b-d) ☐ No (Go to Question 12)

b. List the full name of every business entity, non-profit organization, or governmental agency in which you held an employment position. *Do not abbreviate, use initials, or list any individual client or customer. Example: John Smith Consulting Firm, not clients ABC Store and D&E Company.*

(1) _____

(2) _____

(3) _____

List additional entities on a separate page.

c. Choose the category that best describes the type of business or services offered by each entity. *List the entity name or number next to its category.*

- | | |
|--|---|
| <input type="checkbox"/> Arts and entertainment | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Professional services (Answer 11d) |
| <input type="checkbox"/> Educational services | <input type="checkbox"/> Other services (Answer 11d) |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> Food and accommodation services | <input type="checkbox"/> Retail trade |
| <input type="checkbox"/> Government | <input type="checkbox"/> Transportation |
| <input type="checkbox"/> Health care | <input type="checkbox"/> Wholesale trade |
| <input type="checkbox"/> Insurance | |
| <input type="checkbox"/> Other (<i>specify</i>) _____ | |

d. If you checked professional or other services in the last question, specify the type of services offered.

- ☐ Legal
- ☐ Accounting
- ☐ Consulting
- ☐ Public relations
- ☐ Other (*specify*) _____

(12) a. **Other Sources of Business Income*** Since January 1, 2005, have you received more than \$5,000 in annual non-employment income from any business not listed above in Question 11? *Examples: Income from rental property, company pensions, limited liability corporations, trusts, stock sale, or sale of a business. Do not list social security income or income from 401, 457, or similar retirement plans.*

☐ Yes (Answer 12b-c) ☐ No (Go to Question 13)

b. List the full name of every business or company from which you received income of more than \$5,000, but performed no services. *Do not abbreviate, use initials, or list any individual client, customer, or patron.*

(1) _____

(2) _____

List additional businesses on a separate page.

c. Choose the category that best describes the type of business or services offered by each entity. *List the entity name or number next to its category.*

- | | |
|--|--|
| <input type="checkbox"/> Arts and entertainment | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Professional services |
| <input type="checkbox"/> Educational services | <input type="checkbox"/> Other services |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Real estate |
| <input type="checkbox"/> Food and accommodation services | <input type="checkbox"/> Retail trade |
| <input type="checkbox"/> Government | <input type="checkbox"/> Transportation |
| <input type="checkbox"/> Health care | <input type="checkbox"/> Wholesale trade |
| <input type="checkbox"/> Insurance | |
| <input type="checkbox"/> Other (<i>specify</i>) _____ | |

PART FOUR: BUSINESS WITH THE CITY

(13) a. **Doing Business with the City*** Has any person, employer, or entity listed as a source of income in Question 11 or 12 engaged in business with the City of Atlanta since January 1, 2005? *If your employer is a large organization and you, in good faith, do not know whether it does business with the city, check no.*

☐ Yes (Answer Questions 13b-g) ☐ No (Go to Question 14)

b. What is the full name of the entity? _____
List additional businesses on a separate page. Do not abbreviate or use initials.

c. What is its business address? _____

d. What is the nature of its business or contract with the City? _____

e. To which department or city agency were the services or products provided?

f. How was the business awarded?

- ☐ Competitive sealed bid
- ☐ Request for proposal
- ☐ Contract for goods and services
- ☐ Memorandum of understanding
- ☐ Grant
- ☐ Other contractual agreement
- ☐ Other (*specify*) _____

g. Were you personally involved in any way in acquiring or doing work in connection with the business or contract for the City?

☐ Yes ☐ No

If yes, explain fully _____

PART FIVE: FAMILY MEMBERS' TRANSACTIONS WITH THE CITY

(14) a. **Family Employment with the City*** Since January 1, 2004, has your spouse, registered domestic partner, or dependent child been employed by the City of Atlanta?

☐ Yes (Answer Questions 14b-c) ☐ No (Go to Question 15)

b. What is the family member's name? _____

c. In which city department or agency does he or she work? _____

(15) a. **Family Transaction with the City*** Since January 1, 2005, has your spouse, registered domestic partner, or dependent child derived any benefit from any transaction with the City directly or through a business in which they have a majority or controlling interest? *Do not include any city employment listed in the last question.*

☐ Yes (Answer Questions 15b-d) ☐ No (Go to Question 16)

b. What is the family member's or business's name? _____

c. What is the nature of the transaction with the City?

☐ Contract

☐ Zoning matter

☐ Liquor license

☐ Grant or receipt of aid

☐ Other (*specify*) _____

d. With what city department or agency is the transaction? _____

PART SIX: DIRECT OWNERSHIP INTERESTS IN REAL PROPERTY

(16) a. **Property Ownership*** Do you own any real property, including your personal residence? *Answer yes even if you hold a mortgage or deed to secure debt on your home.*

☐ Yes (Answer 16b) ☐ No (Read Note One below)

b. List the city, county, state, and zip code in which you own property and check whether the property is located in the City of Atlanta. *If the information is the same for more than one parcel, you do not need to repeat the entry.*

(1)	_____	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	City	County	State	Zip Code	Inside City Limits

(2)	_____	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	City	County	State	Zip Code	Inside City Limits

(3)	_____	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	City	County	State	Zip Code	Inside City Limits

If you own additional property in other locations, list the property location on a separate page.

NOTE ONE: If you are a board member, hearing officer, NPU officer, or "other employee" in a position below a deputy commissioner or deputy department head, you have finished answering the required questions. Review your answers and, if accurate and complete, go to Part Eight, sign and date the form, and follow the filing instructions. If you are a city elected official or a "certain designated filer," go to Part Seven.

PART SEVEN: ADDITIONAL QUESTIONS FOR CERTAIN DESIGNATED FILERS

(17) a. **Stocks, Bonds, Blind Trusts, and Debt Holdings** *To be completed by city elected officials, chief or deputy chief operating officers; chief or deputy chiefs of staff; commissioners, department heads, or their equivalents; deputy commissioners, deputy department heads, or their equivalents; and employees in the Mayor's office who report directly to the Mayor.*

During 2005, did you hold more than \$10,000 in any stock, bond, blind trust, debenture, or other form of debt obligation of any corporation or business entity? *Do not list any mutual funds; checking, savings, or time deposit accounts; retirement accounts held by any financial institution or the U.S. government; or any city deferred compensation or pension program.*

☐ Yes (Answer Question 17b) ☐ No (Go to Question 18)

b. What is the name of the stock, bond, blind trust, debenture, or other debt obligation?

List additional stocks and other holdings on the back or a separate page.

(18) a. **Identify of Individual Clients** *To be completed by the Council President and Council members. Other filers go to Part Eight.* For each business listed as a source of non-employment income in Question 12, does it have a client, customer, or patron that does or seeks business with the City, does or seeks official action from the City, or is a "prohibited source" for another reason?

☐ Yes (Answer Question 18b) ☐ No (Go to Part Eight)

b. What is the name and address of every client, customer, or patron that is a prohibited source?

List additional clients on the back or a separate page.

PART EIGHT: SIGNATURE. *You must sign your statement to complete it.*

I declare under penalty of perjury that I have reviewed this 2006 City Financial Disclosure Statement and to the best of my knowledge it is a true, accurate, and complete statement of my current financial interests. I understand that intentionally filing a statement that contains false or misleading information can result in sanctions or other penalties.

Signature

Date

Filing Instructions. The deadline for filing the 2006 City Financial Disclosure Statement is Wednesday, February 15, 2006. A penalty of \$50 per business day will be assessed on persons who file after the deadline. Persons may file this form by (1) delivering the information via the online form at <https://apps.atlantaga.gov/efile> by the end of the day (11:59 p.m.); (2) hand delivering the original for filing at the Municipal Clerk's office by the end of the business day (5 p.m.); or (3) depositing the form in the United States mail, with adequate postage, and postmarked by February 15 to:

**City of Atlanta Municipal Clerk
55 Trinity Avenue, Suite 2700
Atlanta, Georgia 30303**

Write to ethicsofficer@atlantaga.gov or call the Ethics Office at (404) 330-6286 if you have questions.